A Keynote Address for the Online Audiovisual Catalogers, Inc.
(OLAC)
Biennial Conference
October 15, 2010

by
Robert O. Ellett, Ph.D.
San Jose State University
School of Library and Information Science
ellettr@gmail.com
Facing the Stern … The Past

- Cling to existing standard (AACR2)
- Access level is good enough
- Believes “golden age of cataloging” is behind us (Cutter, 1904)
- Complaints from patrons?

Facing the Bow … The Future

- Looking forward to providing additional access
- Comfortable with choices of options
- Not content with current rules
- Believes “golden age of cataloging” lies ahead
RDA vs. RDA Toolkit

- RDA is the content of the new cataloging guidelines.
- RDA Toolkit is a current electronic product available from ALA Publishing.

http://www.rdatoolkit.org/
RDA Attributes (Part I)

http://www.youtube.com/watch?v=LN0vKC
FsXPE

● Manifestations and items

● Works and expressions

● Persons, families, and corporate bodies

● Concepts, objects, events, places

Attributes of Manifestation and Item (Section I)

● General Guidelines on Recording Attributes (Chapter 1)

● Identifying Manifestations and Items (Chapter 2)

● Describing Carriers (Chapter 3)

● Providing Acquisitions and Access Information (Chapter 4)
Mode of Issuance
(RDA 2.13.1.3)

- Monograph: resource issued and described as a single unit
- Multipart monograph (applies to print and non-print)
- Serial: resource issued in successive parts, intending to run indefinitely
- Integrating resource: resource updated or changed without discrete parts

Titan Quest
Types of Description (RDA 1.5.1)

- Comprehensive Description
- Analytical Description
- Hierarchical Description

Chapter 2 (Identifying Manifestations and Items)

- Preferred Source of Information
  - Resources consisting of pages, leaves, sheets or cards ➔ Title page
  - Resources consisting of moving images ➔ Title frame or screen
  - Other resources ➔ Permanently fixed or printed label, or embedded textual metadata providing the title
AACR2 vs. RDA Organization

- In RDA, ISBD not required
- AACR2 organized by format
- RDA organized by recording of attributes and recording of relationships

RDA Guidelines

"Built on foundations established by the Anglo-American Cataloguing Rules (AACR), RDA will provide a comprehensive set of guidelines and instructions on resource description and access covering all types of content and media. The new standard is being developed for use primarily in libraries, but consultations are being undertaken with other communities (archives, museums, publishers, etc.) in an effort to attain an effective level of alignment between RDA and the metadata standards used in those communities." –Joint Steering Committee
Guideline 2.3.2.11 Devised Titles

- 2.3.2.11 Recording Devised Titles (general guidelines)
- 2.3.2.11.1 Devised Titles for Music
- 2.3.2.11.2 Devised Titles for Cartographic Materials
- 2.3.2.11.3 Devised Titles for Moving Image Resources
- 2.3.2.11.4 Devised Titles for Archival Resources and Collections

What are the RDA Record Requirements?
RDA Core Elements (0.6)

- Title proper (245 $a) (RDA 2.3.2)
- Statement of responsibility relating to title proper (first) (245 $c) (RDA 2.4.1)
- Edition Statement (250 $a) (RDA 2.5)
- Serial numbering (362 $a) (RDA 2.6)
- Publication Data (260 $a-$c) (RDA 2.8)
- Extent of resource (300 $a) (RDA 3.4)
- Carrier type (338 $a, $2) (RDA 3.3)
3.3.1.3 Recording Carrier Type

**Audio carriers**
- audio cartridge
- audio cylinder
- audio disc
- audio roll
- audiocassette
- audiocassette
- audiotape reel
- sound-track reel

**Computer carriers**
- computer card
- computer chip cartridge
- computer disc
- computer disc cartridge
- computer tape cartridge
- computer tape cassette
- computer tape reel
- online resource

**Microform carriers**
- aperture card
- microfiche
- microfiche cassette
- microfilm cartridge
- microfilm cassette
- microfilm reel
- microfilm roll
- microfilm slip
- microopaque

**Projected image carriers**
- film cartridge
- film cassette
- film reel
- film roll
- filmslip
- filmstrip
- filmstrip cartridge
- overhead transparency
- slide

**Unmediated carriers**
- card
- flipchart
- object
- roll
- sheet
- volume

**Video carriers**
- video cartridge
- videocassette
- videodisc
- videotape reel

**Slide from Adam Schiff**
Core Elements in Resource Description

- Series (490 $a) (2.12)
- Identifiers for the Manifestation (RDA 2.15)
  - ISBN, ISSN, and other standard numbers based on internationally recognized schemes
  - Numbers assigned by publishers, distributors, government agencies based on internal schemes
  - “Fingerprints”
  - Not URLs (RDA 4.6)

“Core if” Elements

- RDA 2.8.6
- Date of publication— if no publication date can be supplied, give “[date of publication not identified]”
- Then date of distribution (if available) becomes core such as:
- [On title page: Distributed in 2010]
General Material Designator

- “A term indicating the broad class of material to which an item belongs” (AACR2)
- Sometimes describes the carrier
- Other times it describes the content

Playaway GMD Debate
So what’s the solution?

- More granularity in metadata
- Will allow for limiting and faceted browsing
- Supports differentiation of resources (RDA 0.4.3)

Replaced by Fields 336-338

- 336  Content
- 337  Media
- 338  Carrier
336 Content Type (RDA 6.10)
- At the work/expression level
- What is the content of the resource?
- How is it expressed or perceived?
- “The fundamental form of communication in which the content is expressed and the human sense through it is intended to be perceived”
- Repeatable

337 Media Type (RDA 3.2)
- At the manifestation/item level
- What is the category of device needed to experience the content of the resource?
- “The general type of intermediation device required to view play, run, etc. the content of the resource”
  [http://www.loc.gov/marc/changes-rda-337.html](http://www.loc.gov/marc/changes-rda-337.html)
338 Carrier Type (RDA 3.3)

- At the manifestation/item level
- What is the specific physical container through or in which a resource’s content is packaged or delivered?
- “The storage medium and housing of a carrier in combination with the type of intermediation device required to view, play, run, etc. the content of the resource”
- Repeatable

Three Wishes for the AV Cataloger!
MARC Field 336 (Content Type)

- How is the resource being communicated?
  - Notated music
  - Performed music
  - Still image
  - Text
  - Two-dimensional moving image

MARC Field 337 (Media Type)

- Do I need special equipment to view, listen, etc?
- If no, use Unmediated
  - Audio
  - Computer
  - Microform
  - Projected
  - Video
MARC Field 338 (Carrier Type)

- What is the housing or storage medium of the resource?
- Audio carriers
- Computer carriers
- Projected image carriers

Return to our Playaway Example
## Playaways 336-338

http://www.olacinc.org/drupal/capc_files/PlayawaysAndRDA.pdf

<table>
<thead>
<tr>
<th>Playaways</th>
<th>336 $a Content</th>
<th>spoken word</th>
<th>OR performed music</th>
</tr>
</thead>
<tbody>
<tr>
<td>337 $a Media</td>
<td>audio</td>
<td>computer</td>
<td></td>
</tr>
<tr>
<td>338 $a Carrier</td>
<td>audio media player</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## Applying Fields 336-338

<table>
<thead>
<tr>
<th>DVD</th>
<th>Music CD</th>
</tr>
</thead>
<tbody>
<tr>
<td>336 $a Content</td>
<td>two-dimensional moving image</td>
</tr>
<tr>
<td>337 $a Media</td>
<td>video</td>
</tr>
<tr>
<td>338 $a Carrier</td>
<td>videot disc</td>
</tr>
</tbody>
</table>
Applying Fields 336-338

<table>
<thead>
<tr>
<th>336 $a Content</th>
<th>Audiobook on CD</th>
<th>Streaming Video</th>
</tr>
</thead>
<tbody>
<tr>
<td>spoken word</td>
<td>two-dimensional moving image</td>
<td></td>
</tr>
<tr>
<td>337 $a Media</td>
<td>audio</td>
<td>video</td>
</tr>
<tr>
<td>338 $a Carrier</td>
<td>audio disc</td>
<td>online resource</td>
</tr>
</tbody>
</table>

Pig Lungs (Swine Respiration)  
(Compliments of Julie Renee Moore)
Smoked Lungs

Applying Fields 336-338

<table>
<thead>
<tr>
<th>Pig Lungs</th>
<th>Teacher’s Guide</th>
</tr>
</thead>
<tbody>
<tr>
<td>336 $a$ Content</td>
<td>(tactile) three-dimensional form</td>
</tr>
<tr>
<td>337 $a$ Media</td>
<td>unmediated</td>
</tr>
<tr>
<td>338 $a$ Carrier</td>
<td>object</td>
</tr>
</tbody>
</table>
RDA 3.4.6.2 (Recording Extent of Three-Dimensional Objects)

- coin
- diorama
- exhibit
- game
- jigsaw puzzle
- media
- mock-up
- sculpture
- specimen
- toy
- If none of the terms listed above is appropriate, use a term designating the type of unit as concisely as possible.

In MARC format

- 300 1 specimen : $b color ; $c approximately 20-50 cm. (RDA 3.4.6.2)
  or
- 300 1 pair of pig lungs : $b color ; $c approximately 20-50 cm. (RDA 3.4.6.2)
- 336 $3 pig lungs $a tactile three-dimensional form $2 rdacontent
- 337 $3 pig lungs $a unmediated $2 rdamedia
- 338 $3 pig lungs $a object $2 rdacarrier
In MARC format (cont’d)

- 300 1 teacher’s guide (10 pages ; $c 28 cm.
- 336 $3 teacher’s guide $a text $2 rdacontent
- 337 $3 teacher’s guide $a unmediated $2 rdamedia
- 338 $3 teacher’s guide $a volume $2 rdacarrier

Relationship Designators

1. Name-to-Resource Relationships
   (Chapters 18-22)—relationships between FRBR Group 2 entities and Group 1 entities) (Appendix I)
2. Resource-to-Resource Relationships
   Chapters 24-28)—relationships between Group 1 entitles (Appendix J)
3. Authority Format: Name-to-Name Relationships (Chapter 29-32)—relationships between Group 2 entities (Appendix K)
Name-to-Resource Relationships

- 100  1  Tolstoy, Leo
- 245  10  War and peace / $c Leo Tolstoy.
- 700  1  Jason, Neville, $e narrator
- 700  1  Godwin, Heather, $e abridger
Resource-to-Resource Relationships

So what is the Library of Congress doing about relationship designators during the RDA testing period?
Contributor (RDA 20.2)

- Relationship between person, family, or corporate body associated with an expression

- Core for LC:
  - Illustrators of resources intended for children
  - Translators

- Cataloger’s judgment for others

Relationship for Contributors

- Give as authorized access point in MARC 7XX fields in bibliographic record
  - Identifier not used alone during RDA Test

- Relationship designators from RDA appendix I in subfield $e of 7XX field
  - Use “illustrator” when applicable.
  - Others: cataloger’s judgment
  - Not a closed list
  - Do not include $e in NAR 1XX for contributor.
Napping House CD & Book

Example: Contributor

100 1 $a Wood, Audrey.
245 14 $a The napping house / $c Audrey Wood ; illustrated by Don Wood.
700 1 $a Wood, Don, $e illustrator.

Notes: 1) Relationship designator “author” could be given for creator in 100 field.
2) 2nd statement of responsibility is not core; also, it isn’t needed to justify the 700 field.
Resource-to-Resource Relationships

- 100 1 Grahame-Smith, Seth, $e author
- 245 10 Pride and prejudice and zombies
- 700 1 $i (parody of work) $a Austen, Jane, $d 1775-1817. $t Pride and prejudice

Authority Format: Name-to-Name Relationships

- 100 1 Seuss, Dr.
- 500 $w r $i real identity $a Geisel, Theodor Seuss, $d 1904-1991
LC Examples (as of 9/14/2010)

http://www.loc.gov/catdir/cpso/RDAtest/books.doc

Categories of examples:
- Name Authority Records (Word file - 104 KB)
- Books (Word file - 96 KB)
- Cartographic resources [TO BE POSTED WHEN AVAILABLE]
- Compilations [TO BE POSTED WHEN AVAILABLE]
- Electronic resources [TO BE POSTED WHEN AVAILABLE]
- Language of expression (Word file - 61 KB)
- Legal works (Word file - 62 KB)
- Notated music [TO BE POSTED WHEN AVAILABLE]
- Preferred title [TO BE POSTED WHEN AVAILABLE]
- Printed text serials [TO BE POSTED WHEN AVAILABLE]
- Publication, distribution, and/or manufacture statement (Word file - 62 KB)
- Replacement of GMD and carrier description (Word file - 75 KB)
- Sound recordings [TO BE POSTED WHEN AVAILABLE]
- Statement of responsibility (Word file - 67 KB)

Questions?